

UNIVERSITY OF ROCHESTER

Pol. Sci. 513  
Professor Rothenberg

Spring 2020

INTEREST GROUP POLITICS

*Purpose:* This course principally introduces students to the political science and political economy literatures on interest groups. This includes developing an understanding of the makeup of the group system, contribution decision that help support groups, the internal politics of organizations, the strategies that groups employ and the role that they play with respect to political institutions. As the study of organized groups cuts across many subdisciplines in political science and political economy, and includes certain elements of finance and even accounting, this course might have a wide appeal.

*Requirements:* Course requirements include research presentations and a longer final paper either original research, a detailed literature review, or a research proposal (roughly 15 pages will suffice).

*Week I: Introduction/Overview.* (Jan. 27<sup>th</sup>)

Overview Pieces on Interest Groups (**not required reading**):

Marie Hojnacki, David C. Kimball, Frank R. Baumgartner, Jeffrey M. Berry, and Beth L. Leech.  
Studying Organizational Advocacy and Influence: Reexamining Interest Group  
Research *Annual Review of Political Science* 15 (3): 79-99.

John M. de Figueiredo  
*Annual Review of Political Science* 17: 163-185.

*State, Institutions, and Democracy*, Norman  
Schofield and Gonzalo Caballero eds., 17-52.

paper. <https://www.nber.org/papers/w26287>

*Week II: Macro-Perspectives* (Feb. 3<sup>rd</sup>).

*Interest Groups & Advocacy* 5 (1): 25-56.

Herschel F. Thomas and Timothy M. LaPira  
*Interest Groups & Advocacy* 6 (3): 199-214.

Thomas T. Holyok      Interest Group and Lobbying Research in a Higher Level Context:  
Two Tests of Integrating Multiple Levels of Analysis *American Politics Research* 45  
(5): 887-902.

Lee Drutman, Matt Grossmann, and Tim LaPira. 2019  
in *Can American Govern Itself?*, Frances E. Lee and Nolan  
McCarty, eds.

James Strickland

Recommended:

Beth L. Leech, Frank R. Baumgartner, Timothy M. LaPira, and Nicholas A. Semanko. 2005.  
Drawing Lobbyists to Washington: Government Activity and the Demand for  
Advocacy *Political Research Quarterly* 58 (1): 19-30.

*Journal of Politics* 72 (3): 659-671.

**Note:** Please be familiar with the basic logic of collective action at least to the level of Olson (1965); if not, review Mancur Olson. *The Logic of Collective Action*, Chs. 1, 2, and 6. There are also a variety of other works in this tradition as well to which I would be happy to provide citations. Here are three somewhat recent reviews of collective action theory:

*Annual Review of Political Science* 16: 259-283.

*Logic of Collective Action*                          *Public Choice* 162 (3-4):  
243-262.

*Public Choice* 164 (3-4): 195-216.

Recommended:

Jack Walker. 1991. *Mobilizing Interest Groups in America*. Chapter 5.

Robert C. Lowry                                  toward Citizen Groups and Think Tanks: Who  
*Journal of Politics* 61 (3): 758-776.

Paul Pecorino and Akram Temimi.                          *Journal of*  
*Public Economic Theory* 10 (5): 785-799.

*Week IV. Lobbying: Who Lobbies Part I* (Feb. 17<sup>th</sup>).

Wendy Hansen, Neil J. Mitchell, and Jeffrey M. Droepe

*American Journal of Political Science* 49 (1): 150-167.

*Journal of*

*International Economics* 75 (2): 329 - 348.

William R.

The Dynamics of Firm

*American Economic Journal: Economic Policy* 6 (4): 343-379. Data:

<https://www.openicpsr.org/openicpsr/project/114883/version/V1/view;jsessionid=6777F4AD71A5E04664FECFB9B4B4989D>

*American Political Science Review* 111 (1): 1-20. See LobbyView page:  
<https://www.lobbyview.org/#!/>.

Alexander Fournaises, and Andrew B. Hall. 2018 How do Interest Groups Seek Access to

*American Journal of Political Science* 62(1): 132-147. Data:

<https://dataverse.harvard.edu/dataset.xhtml?persistentId=doi:10.7910/DVN/PGCVDP>

In Song Kim, and Dmitriy Kunisky. 2020

*Political Analysis* (forthcoming).

See LobbyView page: <https://www.lobbyview.org/#!/>.

**Note:** On measures of group ideology, see:

*American Journal of Political Science* 57 (2): 294-311. Data:  
<https://dataverse.harvard.edu/dataset.xhtml?persistentId=doi:10.7910/DVN/HUZNE2>

Jesse M. Crosson

[https://www.researchgate.net/profile/Jesse\\_Crosson2/publication/324040699\\_Estimating\\_Interest\\_Group\\_Ideal\\_Points\\_with\\_Public\\_Position-Taking\\_on\\_Bills\\_in\\_Congress/links/5ca791bb299bf118c4b58141/Estimating-Interest-Group-Ideal-Points-with-Public-Position-Taking-on-Bills-in-Congress.pdf](https://www.researchgate.net/profile/Jesse_Crosson2/publication/324040699_Estimating_Interest_Group_Ideal_Points_with_Public_Position-Taking_on_Bills_in_Congress/links/5ca791bb299bf118c4b58141/Estimating-Interest-Group-Ideal-Points-with-Public-Position-Taking-on-Bills-in-Congress.pdf)

[https://www.researchgate.net/profile/Kevin\\_Esterling/publication/306464174\\_Placing\\_Lobbyists\\_and\\_Legislators\\_in\\_Common\\_Ideological\\_Space/links/57be394a08aed246b0f72fea/Placing-Lobbyists-and-Legislators-in-Common-Ideological-Space.pdf](https://www.researchgate.net/profile/Kevin_Esterling/publication/306464174_Placing_Lobbyists_and_Legislators_in_Common_Ideological_Space/links/57be394a08aed246b0f72fea/Placing-Lobbyists-and-Legislators-in-Common-Ideological-Space.pdf)

For a broad modeling exercise, see:

*Journal of Theoretical Politics* 31 (3): 403 - 452.

*Week V. Lobbying: Purpose and Strategies* (**Class to be rescheduled:** Feb. 24<sup>th</sup>)

*American  
Political Science Review* 100 (1): 69-84.

*Journal of Politics* 79(4): 1162-1176.

Keith E. Schnakenberg. 2017 Informational Lobbying and Legislative Voting *American Journal of Political Science* 61 (1): 129-145.

[https://www.andrew.cmu.edu/user/kangk/files/lobbyist\\_as\\_gatekeeper.pdf](https://www.andrew.cmu.edu/user/kangk/files/lobbyist_as_gatekeeper.pdf)

Strategic Legislative Subsidies: Informational  
*American Political Science Review* 114 (1): 179 205.

Recommended:

Marco Battaglini, and Eleonora Patacchini *Journal  
of Political Economy* 126 (6): 2277-2322.

Week VI: Lobbying Coalitions and Venue Selections (March 2<sup>nd</sup>)

Matilde Bombardini, and Francesco Trebbi. 2012. Competition and Political Organization: Together or Alone in Lobbying for Trade Policy? *Journal of International Economics* 87 (1): 18-26.

Frederick J. Boehmke, Sean Gailmard, and John Wiggs Patty. 2013 Business as Usual: Interest Group Access and Representation across Policy-Making Venues *Journal of Public Policy* 33 (1): 3-33.

Government Partners in Advocacy: Lobbyists and  
*Journal of Politics* 77 (1): 202-215.

*Journal of Politics* 80 (2): Data:  
<https://dataverse.harvard.edu/dataset.xhtml?persistentId=doi:10.7910/DVN/UTJGTF>

ongressional Committee Agenda Setting. *Journal of Politics* 82 (1): 225-240.

Recommended:

Frederick J. Boehmke  
Information Sources and Venue Choice in Policy- *Quarterly Journal of Political Science* 1 (2): 139-169.

*American Journal of Political Science* 53 (2): 360-375.

Daniel P. Carpenter, Kevin M. Esterling, and David M. J. Lazer. 2004.  
*Journal of Politics* 66 (1): 224-246.

*Week VII: Impacts of Lobbying (March 16<sup>th</sup>)*

Deniz Igan and Prachi Mishra. 2014. "Wall Street, Capitol Hill, and K Street: Political Influence and Financial Regulation," *Journal of Law and Economics* 57 (4): 1063-1084.

Karam Kang. 2016. Policy Influence and Private Returns from Lobbying in the Energy Sector  
*Review of Economic Studies* 83 (1): 269-305.

Misallocation. Working paper.

<https://static1.squarespace.com/static/5bc932127fdcb8476acace36/t/5d2758615f0c0100019dc844/1562859617908/misallocation.pdf>

Stephane Wolton. 2019

Policy Choices. Working paper.

<https://poseidon01.ssrn.com/delivery.php?ID=735105085006006008086073113009066106120009055009062036075096126085093104127078092094009013096038009044113125094121084103121072031087031066093111012127097030010117125095077054021085083070011071093015108076104085118097030089111030104075107114075072102113&EXT=pdf>

David Martimort. 2020. 'When Olson Meets Dahl': From Inefficient Groups Formation to Inefficient Policy-Making *Journal of Politics* (forthcoming)  
<https://ssrn.com/abstract=3428365>.

Recommended:

*American Journal of Political Science* 53 (4): 893-909.



S Campaign Finance Legislation and the Supply-side of the Revolving  
Door *Political Science Research and Methods* (forthcoming)  
[https://simonweschle.github.io/papers/2019\\_PSRM\\_RD.pdf](https://simonweschle.github.io/papers/2019_PSRM_RD.pdf)

Week IX. *Political Contributions Motivations for Giving* (March 30<sup>th</sup>)

Week X. *Political Contributions Impacts* (Apr. 6<sup>th</sup>)

M

*Journal of Finance* 65 (2): 687-724.

Meng Gao, and Jiekun

*Journal of Financial Economics* 121 (3): 521-545.

Congressional Officials: A  
*Political Science* 60 (3): 545-558. Data:  
<https://dataverse.harvard.edu/dataset.xhtml?persistentId=doi:10.7910/DVN/28582>

*American Journal of*

. Working paper.

[https://www.dropbox.com/s/eqzk6tovci6b6es/avis\\_jmp.pdf?dl=0](https://www.dropbox.com/s/eqzk6tovci6b6es/avis_jmp.pdf?dl=0).

Anthony Fowler, H

*Journal of Politics* (forthcoming). Data:

<https://dataverse.harvard.edu/dataset.xhtml;jsessionid=ca7be792df2f2611016d070c75a2?persistentId=doi%3A10.7910%2FDVN%2FBQGWYI&version=&q=&fileTypeGroupFacet=&fileAccess=%22Public%22&fileTag=&fileSortField=&fileSortOrder=>

Recommended:

-Contracting  
*Political Analysis* 19 (6): 325-341.

[Note, is an available supplemental appendix]

Rajesh K. Aggarwal, Felix Meschke, and Tracy Yue Wang      Corporate Political  
Donations: Investment or Agency? *Business and Politics* 14 (1): Article 3.

Alexei V. Ovtchinnikov, and Eva Pantaleon. 2012    Individual Political Contributions and Firm  
*Journal of Financial Economics* 105 (2): 367-392.

*Business and Politics* 18 (4): 367-394. Data:  
<https://dataverse.harvard.edu/dataset.xhtml?persistentId=doi:10.7910/DVN/6R1HAS>



