

KNEADING INVARIANTS OF FIBONACCI QUOTIENT ROTATIONS

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Abstract. This paper studies the sequence of rotations of the unit circle by angles of quotients of adjacent Fibonacci numbers. An equivalence relation is placed on the unit circle that converts it into an interval in the real line. On this interval, each Fibonacci quotient rotation forms a real, quadratic, one dimensional dynamical system. We give an inductive construction for the kneading invariants of this sequence of dynamical systems.

1. Introduction

We consider the quadratic family of polynomials, $P_c: \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$ defined by $P_c(z) = z^2 + c$, for $c \in \mathbb{C}$. Given $c \in \mathbb{C}$, we define the filled Julia set, K_c as those $z \in \mathbb{C}$ such that the orbit of z by P_c , $\{P_c^n(z) : n \in \mathbb{N}\}$, is bounded and the Julia set as the boundary of the filled Julia set, ∂K_c .

The Mandelbrot set M , is the set

$$M = \{c \in \mathbb{C} : K_c \text{ is connected}\}.$$

It is known that M is compact and connected [3]. By the Riemann mapping theorem, there is an analytic homeomorphism $\phi_M : \mathbb{C} \setminus K \rightarrow \mathbb{C} \setminus \bar{D}$ (where D is the unit disk). Define the external ray of argument

The proof makes use of a Hubbard tree. A tree is a finite, connected, and acyclic graph embedded in \mathbb{C} . A Hubbard tree of a given map P_c is a tree, $T \subset K_c$, such that T contains the orbit of 0 and no subtree T^0 both contains the orbit of 0 and has that $P_c(T^0) \subset T$. Hubbard trees distill all the combinatorial information of the map they represent into a simple structure. We can generalize Hubbard trees with the following definition. An abstract Hubbard Tree is a tree with a continuous and onto map g such that: g is at most two to one, except for a single point, called the critical point, g is a local homeomorphism, and every endpoint of T lies on the forward orbit of the critical point [2]. An abstract Hubbard Tree is said to be expanding if for each edge with endpoints v_1, v_2 there is an $N \in \mathbb{N}$ such that the number of edges between $g^N v_1$ and $g^N v_2$ is at least 2.

The goal of dynamical systems is to describe how the orbits are distributed. If there is $n \in \mathbb{N}$ such that $f^n(x) = x$ then we say that x is n -periodic and $O_f(x)$ is a periodic orbit.

A continuous map f defined on an interval $I = [a; b]$ is called unimodal if there is $c \in (a; b)$ such that f is strictly monotone on $[a; c)$ and $(c; b]$ and c is a global maximum or minimum. The point c is called the turning point of f . For an unimodal map f with turning point c , write $c_1 = f^{-1}(c)$ [2].

2.2. Kneading Theory. Given a dynamical system $(f; I)$ where f is an unimodal map and a point $x \in I$ we define the itinerary of f and x , $I(f; x)$, to be the sequence $e_1; e_2; \dots$ where

$$e_i = \begin{cases} 0 & f^i(x) < c \\ 1 & f^i(x) > c \\ \cdot & f^i(x) = c \end{cases}$$

If the last case occurs, the itinerary is finite and stops before the star. In other words if x is n -periodic then $I(x; f)$ is a finite sequence of $n - 1$ binary values. We call $I(c_1; f)$ the kneading sequence or the kneading invariant of f [2].

2.3. Diophantine Approximations.

By rewriting we get

$$(3) \quad = 1 + \frac{1}{-}:$$

Thus for all $n \geq 2$, $p_n =$ and $a_n = b/c = 1$. Hence $p_1 = 1$, $p_2 = 2$ and in general $p_n = p_{n-1} + p_{n-2}$. In particular $p_n = F_{n+1}$. Similarly $q_n = F_n$ for all $n \geq 2$. Plugging this into 1 and 2 we get the following equations

$$(4) \quad F_{n+1} \quad F_{n+2} = \frac{(1)^{n+1}}{F_{n+1} + F_n}$$

$$(5) \quad F_n \quad F_{n+1} = \frac{(1)^n}{F_{n+1} + F_n}:$$

We will now prove a stronger version of Theorem 6 in Chapter 1, Section 2 of [6] for the specific case of . Our proof follows the same technique as the proof in [6] but changes some inequalities. First, we make the following change to the definition of a best approximation appearing in [6]. We say that a/b with $a, b \in \mathbb{N}$ is a best approximation to α if for all $b' \in \mathbb{N}$ with $1 \leq b' < b$,

$$(6) \quad kb - k = ja \quad \text{and} \quad \frac{kb - k}{b'} > \frac{kb - k}{b}$$

Theorem 6 ([6]). The best approximations to α are its principal convergents. In particular, for $n \geq 2$, F_n is the smallest integer $q > F_{n-1}$ such that $q - \alpha < q - \alpha$.

Proof. First we will show that if $a/b \in \mathbb{Q}$ is a best approximation with $a, b \in \mathbb{N}$ then there is $n \geq 2$ such that $a = F_{n+1}$ and $b = F_n$. Then we will show that F_{n+1}/F_n is a best approximation. Suppose that a/b is a best approximation. We can assume that $a/b = 1 = F_2/F_1$ as otherwise

$$\frac{k - k}{1} = \frac{F_1}{F_0} = j \quad 1 < j < \frac{a}{b} = \frac{kb - k}{b}$$

contradicting that a/b is a best approximation as $1 < b$ yet $\frac{k - k}{1} < \frac{kb - k}{b}$.

$$\frac{k - k}{1} < \frac{kb - k}{b}:$$

Similarly we can rule out that $a/b > 2 = F_3/F_2$. Thus we can assume that there is an $n > 2$ such that a/b is between F_n/F_{n-1} and F_{n+2}/F_{n+1} using Theorem 5. Notice

$$\frac{1}{bF_{n-1}} \quad \frac{a}{b} \quad 1 < \frac{a}{b} < \frac{1}{bF_{n-1}}$$

Proof.

$$\frac{k}{-} = kk(1)k = kk \quad kk = kk k$$

2.4. Fibonacci Facts. In this section, we state some facts about Fibonacci numbers that will play an important role in this paper.

Theorem 7. Let $p, q \in \mathbb{N}$ with $p > q$. Then

$$F_p F_{q+1} - F_{p+1} F_q = (-1)^p F_{p-q}.$$

For a proof of Theorem 7 see [5].

Lemma 2. Let $n \in \mathbb{N}$ then

$$[F_n] = F_{n+1}.$$

3. Construction

In this section, we describe the sequence of functions of interest. This construction appears in [1] for general fractions $p/q \in \mathbb{Q}$ but for this paper we restrict our attention to those fractions of the form F_n/F_{n+1} . First, we define

$$S^1 = \{e^{2i} : i \in \mathbb{Z}\} \subset \mathbb{C}.$$

For $n \in \mathbb{N}$ with $n > 2$ we define $R_n : S^1 \rightarrow S^1$ to be

$$R_n(e^{2i}) = e^{2in}.$$

(a) Step 1

(b) Step 2

(c) Step 3

Figure 1. Process for constructing the critical orbit for f_5 map with the construction.

Figure 2. The map f_4 obtained by the construction.

Lemma 3. Let $n \in \mathbb{N}$, $k \in \mathbb{N}_0$ with $n > 2$ and $k < F_{n+1}$. Further

Then note

$$\frac{kF_n}{F_{n+1}} \pmod{1} = \frac{r}{F_{n+1}}:$$

This concludes the proof.

We will now state a few observations about the ordered set z_n for $n \in \mathbb{N}$ with $n > 2$ that follow from Lemma 3 and from d'Ocagne's identity.

Remark 1.

- (1) $z_0^n = \alpha_0^n$
- (2) $\alpha_1^n = z_{F_n}^n$ if n is odd and $\alpha_1^n = z_{F_n-1}^n$ if n is even
- (3) $z_2^n = \alpha_{F_n-2}^n$
- (4) If z_k^n is in the counterclockwise arc of S^1 from z_0^n to z_2^n then $w_k^n = 1$ otherwise $w_k^n = 0$.

Using these observations we get that $w_k^n = 1$ if and only if

$$(7) \quad 0 < kF_n \pmod{F_{n+1}} < F_n - 2:$$

Similarly $w_k^n = 0$ if and only if

$$(8) \quad F_n - 2 < kF_n \pmod{F_{n+1}} < F_n - 1 \pmod{F_{n+1}}:$$

For $n \in \mathbb{N}$ with $n > 2$ and $k \in \mathbb{N}_0$ with $k < F_{n+1}$, let $I_n(k)$ be such that $z_k^n = \alpha_{I_n(k)}^n$

4. Results

4.1. Main Result. Split w^n in the following way:

$$w^n = \begin{matrix} n & n & n \\ 1 & 2 & 3 \end{matrix}$$

Where $\begin{matrix} n \\ 1 \end{matrix}$ and $\begin{matrix} n \\ 3 \end{matrix}$ are of length F_{n-1} and $\begin{matrix} n \\ 2 \end{matrix}$ is of length F_{n-2} . For all $n; j \in \mathbb{N}$ with $j < 3$ and $n > 2$, if $\begin{matrix} n \\ j \end{matrix} = e_1; \dots; e_s$ where s is either F_{n-1} or F_{n-2} denote $\overline{\begin{matrix} n \\ j \end{matrix}}$ to be $e_1; \overline{e_2}; \dots; e_s$. If the length of $\begin{matrix} n \\ j \end{matrix}$ is smaller than 2 then $\overline{\begin{matrix} n \\ j \end{matrix}} = \begin{matrix} n \\ j \end{matrix}$. Recall if $x \geq 0; 1g \overline{x}$ is $1 - x$. The main result of this paper is

Theorem 3 (Inductive construction of the kneading invariant). Suppose $n \in \mathbb{N}$ with $n > 2$ then

$$w^n = \begin{cases} w^n \begin{matrix} 1 & \overline{\begin{matrix} n \\ 1 \end{matrix}} & \begin{matrix} n \\ 1 \end{matrix} \\ 1 & 1 & 2 \end{matrix} & n \text{ odd} \\ w^n \begin{matrix} 1 & \begin{matrix} n \\ 1 \end{matrix} & \begin{matrix} n \\ 1 \end{matrix} \\ 1 & 1 & 2 \end{matrix} & n \text{ even} \end{cases}$$

To prove Theorem 3 we will prove this equivalent lemma.

Lemma 5. For all $n > 2$

$$w^n = \begin{cases} \begin{matrix} n & 1 & n & 1 & n & 1 & \overline{\begin{matrix} n \\ 1 \end{matrix}} & \begin{matrix} n \\ 1 \end{matrix} \\ 1 & 1 & 2 & 1 & 1 & 1 & 1 & 2 \end{matrix} & n \text{ odd} \\ \begin{matrix} n & 1 & n & 1 & \overline{\begin{matrix} n \\ 1 \end{matrix}} & \begin{matrix} n \\ 1 \end{matrix} & \begin{matrix} n \\ 1 \end{matrix} \\ 1 & 1 & 2 & 1 & 1 & 1 & 2 \end{matrix} & n \text{ even} \end{cases}$$

Proof. (Lemma 3 implies Theorem 3)

We will use induction on n . See Figure 3 for the base case. Now suppose $n > 2$ and

$$(9) \quad w^n = \begin{cases} w^n \begin{matrix} 1 & \overline{\begin{matrix} n \\ 1 \end{matrix}} & \begin{matrix} n \\ 1 \end{matrix} \\ 1 & 1 & 2 \end{matrix} & n \text{ odd} \\ w^n \begin{matrix} 1 & \begin{matrix} n \\ 1 \end{matrix} & \begin{matrix} n \\ 1 \end{matrix} \\ 1 & 1 & 2 \end{matrix} & n \text{ even} \end{cases}$$

By Lemma 5 we have

$$w^{n+1} = \begin{cases} \begin{matrix} n & n & n & \overline{\begin{matrix} n \\ 1 \end{matrix}} & \begin{matrix} n \\ 1 \end{matrix} \\ 1 & 2 & 1 & 1 & 2 \end{matrix} & n \text{ even} \\ \begin{matrix} n & n & \overline{\begin{matrix} n \\ 1 \end{matrix}} & \begin{matrix} n \\ 1 \end{matrix} & \begin{matrix} n \\ 1 \end{matrix} \\ 1 & 2 & 1 & 1 & 2 \end{matrix} & n \text{ odd} \end{cases}$$

Suppose that n is even. Then by (9) we know $\begin{matrix} n \\ 3 \end{matrix} = \begin{matrix} n & 1 & n & 1 \\ 1 & 1 & 2 & 1 \end{matrix} = \begin{matrix} n \\ 1 \end{matrix}$. If n is odd, we have that $\begin{matrix} n \\ 3 \end{matrix} = \begin{matrix} n & 1 & n & 1 \\ 1 & 1 & 2 & 1 \end{matrix} = \overline{\begin{matrix} n \\ 1 \end{matrix}}$. Hence

$$w^{n+1} = \begin{cases} w^n \begin{matrix} \overline{\begin{matrix} n \\ 1 \end{matrix}} & \begin{matrix} n \\ 1 \end{matrix} \\ 1 & 2 \end{matrix} & n \text{ odd} \\ w^n \begin{matrix} \begin{matrix} n \\ 1 \end{matrix} & \begin{matrix} n \\ 1 \end{matrix} \\ 1 & 2 \end{matrix} & n \text{ even} \end{cases}$$

This concludes the proof.

4.2. Examples. We will walk through how to use this pattern. Looking at Figure 3 we see that

$$w^3 = 010$$

and

$$\begin{matrix} 3 \\ 1 \end{matrix} = 0 \quad \begin{matrix} 3 \\ 2 \end{matrix} = 1 \quad \begin{matrix} 3 \\ 3 \end{matrix} = 0$$

Using Lemma 3 we see that

$$w^4 = \begin{matrix} 3 & 3 & \overline{3} & 3 & 3 \\ 1 & 2 & 1 & 1 & 2 \end{matrix} \\ = 01001$$

Now we have that

$$\begin{matrix} 4 \\ 1 \end{matrix} = 01 \quad \begin{matrix} 4 \\ 2 \end{matrix} = 0 \quad \begin{matrix} 4 \\ 3 \end{matrix} = 01$$

This time by Theorem 3,

$$w^5 = w^4 \begin{matrix} \overline{4} & 4 \\ 1 & 2 \end{matrix} \\ = 01001000$$

occurs then for all subsequent q_n^0 , q_n^0 will be a constant. We formalize this with the following lemma. Recall that for $x \in \mathbb{R}$

$$k(x) = \min_{j \in \mathbb{Z}} |x - j| = \min_{x \in [0, 1]} |x - \lfloor x \rfloor| = \min_{x \in [0, 1]} x - \lfloor x \rfloor$$

Lemma 7. For each $k \in \mathbb{N}$ there is a $N_k \in \mathbb{N}_0$ such that for all $n \geq N_k$,

$$\frac{kF_n}{F_{n+1}} = \frac{k}{2}$$

and

$$N_k = \left\lceil \frac{\log \left(\frac{2^{2k+1}}{k} + 1 \right)}{2} \right\rceil$$

Proof. Fix $k \in \mathbb{N}$, set

$$k = \frac{k}{2}$$

Clearly $k > 0$ and so we know there is N_k such that for all $n \geq N_k$,

$$(10) \quad \frac{kF_n}{F_{n+1}} - \frac{k}{2} < k$$

Fix $n \geq N_k$. Note that

$$\frac{kF_n}{F_{n+1}} \geq \frac{k}{2} - k = \frac{k}{2} + k$$

and that

$$k = \min \frac{k}{2}$$

Lastly note that for all i in $f_n^{-2}; n^{-1}; ng$ we have that

$$r_i = kF_i \pmod{F_{i+1}}:$$

And so we have

$$kF_n \pmod{F_{n+1}} = kF_{n-1} \pmod{F_n} + kF_{n-2} \pmod{F_{n-1}}$$

as desired.

To prove Lemma 6 we must prove that for $n \in \mathbb{N}$ with $n > 2$ and $k \in \mathbb{N}_0$ with $k < F_{n-1}$

$$w_k^n = w_k^{n-1}:$$

We need Lemma 8 to apply for each $n \in \mathbb{N}$ such that $k < F_{n-1}$

We can use the fact that $\lfloor F_n = \rfloor = F_{n-1}$ to see that this is the same as

$$\frac{1}{F_m} \frac{F_{m-1}}{F_m} \frac{2+1}{(2^{m+2}-1)}:$$

Expanding this out we get

$$\frac{\binom{m}{m} \binom{m}{m} + \binom{m+1}{m} \binom{m+1}{m}}{\binom{m}{m} \binom{m}{m}} \frac{2+1}{(2^{m+2}-1)}$$

$$\frac{\binom{m}{m} \binom{m+1}{m} + \binom{m+1}{m} \binom{m+1}{m}}{\binom{m}{m} \binom{m}{m}} \frac{2+1}{(2^{m+2}-1)}$$

$$\frac{j^{m+1} \binom{m}{m} \binom{m}{m} j}{m+1 \binom{m}{m} \binom{m}{m}} \frac{2+1}{(2^{m+2}-1)}$$

$$\frac{(2^m + (1)^m - 1)}{2^{2m+1} - 1} \frac{2+1}{2^{2m+2} - 1}:$$

Which is true for all $m \geq 1$.

We are now ready to prove Lemma 6.

Lemma 6. Let $n \in \mathbb{N}$ with $n > 2$,

$$w_1^n = w_1^{n-1} w_2^{n-2}$$

Proof. Recall

$$w_1^n = w_1^n \dots w_{F_n}^n$$

$$w_1^{n-1} w_2^{n-1} = w_1^{n-1} \dots w_{F_n}^{n-1}$$

Thus we must prove for all $n; k \in \mathbb{N}_0$ with $n > 2$ and $k < F_{n-1}$,

$$w_k^n = w_k^{n-1}:$$

We will proceed by induction on n . The case $n = 3$ can be verified directly from Figure 3. Fix n and suppose that for $k \in \mathbb{N}_0$ with $k < F_n$

$$w_k^n = w_k^{n-1}:$$

We consider two cases $w_k^n = 1$ and $w_k^n = 0$. First we suppose that $w_k^n = w_k^{n-1} = 1$. Using 7 we get

$$0 < kF_{n-1} \pmod{F_n} \quad F_{n-2}$$

and

$$0 < kF_{n-2} \pmod{F_{n-1}} \quad F_{n-3}:$$

Summing and applying Lemma 8 we get:

$$0 < kF_n \pmod{F_{n+1}} \quad F_{n-1}:$$

Hence $w_k^n = 1$ by 7.

Now suppose $w_k^{n-1} = 0$. Thus

$$F_{n-2} < kF_{n-1} \pmod{F_n}$$

and

$$F_{n-3} < kF_{n-2} \pmod{F_{n-1}}$$

Summing and applying Lemma 8 we get

$$F_{n-1} < kF_n \pmod{F_{n+1}}$$

and so $w_k^n = 0$. In either case $w_k^n = w_k^{n-1}$ concluding the proof.

4.4. Proof of Lemma 13.

Lemma 13. Let $n \in \mathbb{N}$ with $n > 2$ then

$$w_3^n = \begin{cases} \frac{n-1}{1} & n \text{ odd} \\ \frac{n-1}{2} & n \text{ even} \end{cases}$$

Proof. Fix $n \in \mathbb{N}$ with $n > 2$. By Lemma 6 this is the same as proving that for all k with $F_n < k < F_{n+1}$ with $k \notin F_n + 2$

$$w_k^n = w_k^{F_n}$$

while

$$w_{F_{n+2}}^n = \begin{cases} w_2^n & n \text{ odd} \\ \frac{w_2^n}{2} & n \text{ even} \end{cases}$$

Fix $k \in \mathbb{N}$ with $F_n < k < F_{n+1}$, (F_{n+1} is handled later). Set $p = k - F_n$ and note $p \in F_n - 1$. Using d'Ocagne's identity, compute

$$\begin{aligned} (p + F_n)F_n \pmod{F_{n+1}} &= (pF_n + F_n^2) \pmod{F_{n+1}} \\ &= (pF_n + (-1)^{n-1}) \pmod{F_{n+1}}: \end{aligned}$$

We now consider the two cases n is even and n is odd. Suppose first that n is even. We wish to prove that $w_k^n = w_p^n$.

Suppose that $w_p^n = 1$ then we know that

$$0 < pF_n \pmod{F_{n+1}} \in F_n - 2$$

by (7). Adding $1 \pmod{F_{n+1}}$ gives us

$$(12) \quad 1 \pmod{F_{n+1}} < kF_n \pmod{F_{n+1}} \in F_n - 2$$

In addition notice we assumed $k < F_{n+1}$ and so by Lemma 4 we know that $kF_n \pmod{F_{n+1}} \notin 0$ as $F_{n+1} \nmid F_n \pmod{F_{n+1}} = 0$. Thus we can rewrite 12 as

$$(13) \quad 0 < kF_n \pmod{F_{n+1}} \in F_n - 2$$

and conclude $w_k^n = 1 = w_p^n$ as desired. Now assume that $w_p^n = 0$.

$$F_n - 2 < pF_n \pmod{F_{n+1}} \in 1 \pmod{F_{n+1}}:$$

Adding $1 \pmod{F_{n+1}}$,

$$F_n - 2 < kF_n \pmod{F_{n+1}} \in 2 \pmod{F_{n+1}} \in 1 \pmod{F_{n+1}}:$$

Again note that $k > F_n$ and so we know that $kF_n \pmod{F_{n+1}} \notin F_n - 2$ as $2F_n \pmod{F_{n+1}} = F_n - 2$. Thus

$$F_n - 2 < kF_n \pmod{F_{n+1}} \in 1 \pmod{F_{n+1}}:$$

as $w_k^n = 0 = w_p^n$.

Now assume that n is odd. We repeat the same process. Suppose that $w_p^n = 1$. Then

$$0 < pF_n \pmod{F_{n+1}} \in F_n - 2$$

by (7). Adding $1 \pmod{F_{n+1}}$ gives us

$$(14) \quad 0 < kF_n \pmod{F_{n+1}} \in F_n - 2 + 1:$$

Note that if $k = F_n + 2$ then $p = 2$ and so $pF_n \pmod{F_{n+1}} = F_n - 2$ and $kF_n \pmod{F_{n+1}} = F_n - 2 + 1$. Hence if $k \notin F_n + 2$ then

$$0 < kF_n \pmod{F_{n+1}} \in F_n - 2$$

and $w_k^n = 1 = w_p^n$. If $k = F_n + 2$ then

$$kF_n \pmod{F_{n+1}} = F_{n-2} + 1 > F_{n-2}$$

and so $w_k^n = 0 = \overline{w_p^n}$ as desired.

Lastly suppose $w_p^n = 0$. Then

$$F_{n-2} < pF_n \pmod{F_{n+1}} \quad 1 \pmod{F_{n+1}}:$$

Adding $1 \pmod{F_{n+1}}$,

$$F_{n-2} < F_{n-2} + 1 < kF_n \pmod{F_{n+1}} + 1$$