

PROOF-THEORETIC THEMATIC UNIQUENESS*

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1 Introduction

Despite the field's long-standing interest in raising (1), a phenomenon whose study has flourished since Rosenbaum (1967), copy raising (2) has not received as much attention in theoretical linguistics.

- (1) Thora seemed to enjoy the game.
- (2) Thora seemed like she enjoyed the game.

The 'copy' pronoun in (2) is an argument in the semantics.

Just like subject-to-subject raising,

game.

We call this semantic role SOURCE for the copy-raising thematic role STIMULUS, essentially based on broader theoretical considerations.

Inspired by a paper by Greg Carlson (Carlson 1984), in this paper, I return to Greg's paper and a problem that it poses: some puzzling facts about Swedish copy raising. I first discuss (2.1) and on Thematic Uniqueness (§2.2). I then sketch a sufficiently restrictive notion of Thematic Uniqueness in terms of proof theory instead (§4). I conclude with

*This paper is dedicated to Greg Carlson. It was presented at his retirement event, GregFest on May 22, 2018. Many thanks to the audience at GregFest for helpful comments and questions. And many thanks to Ida Toivonen for allowing me to write up this previously unpublished excerpt from our joint work (Asudeh and Toivonen 2012). I'm also grateful to Peter Guekguezian for his patient editorial work. Any remaining errors are my own.

2 Background

2.1 Copy Raising

Copy raising is a phenomenon in which a raising verb takes a non-expletive subject and a complement containing an obligatory pronominal ‘copy’ of the subject, as shown again here for English:

- (4) a. Thora seems like she’s found the chocolate.
 b. *Thora seems like Alfred’s found the chocolate.

Swedish displays a similar alternation:

- (5) a. Thora verkar som om hon har hittat chokladen.
 Thora seems as if she has found chocolate.the
 ‘Thora seems like she has found the chocolate.’
 b. * Thora verkar som om Alfred har hittat chokladen.
 Thora seems as if Alfred has found chocolate.the

But Swedish also has the capacity to express the SOURCE in a *på* construction. In that case it cannot also be expressed as a subject:

- (6) a. Det verkar på Thora som om hon har hittat chokladen.
 It seems on Thora as if she has found chocolate.the
 ‘Thora seems like she has found the chocolate.’
 b. * Thora verkar på Isak/Thora som om hon har hittat chokladen.
 Thora seems on Isak/Thora as if she has found chocolate.the

English copy raising was first discussed extensively in work by Rogers (1971, 1972, 1973, 1974), although it did receive a brief mention on the first major extended work on raising Postal (1974: 268, fn.1). To our knowledge, Asudeh and Toivonen (2012) is the first work to discuss Swedish copy raising in any detail. For further references on both English and Swedish copy raising, see Asudeh and Toivonen (2012, 2017) and Toivonen (2020).

2.2 Thematic Uniqueness

Carlson (1984: 270–273) discusses the status of thematic roles in the grammar and issues raised by the apparent universal constraint against verbs like the made up verb *skick* which takes a subject that is an AGENT and two objects that are both LOCATION (or PATIENT — the exact thematic role doesn’t matter).

- (7) John skicked Bill’s leg Bill’s shin. (Carlson 1984: 271, (11))

The model-theoretic treatment of the uniqueness requirement in thematic roles does not block this sentence, since the subject and the reflexive are denotationally equivalent. They could both be assigned the thematic role AGENT.

- (24) a. It seems like Tom is laughing.
 b. Det verkarsom Tom skrattar.
 It seems as if T. laughs
 'It seems as if Tom is laughing.'

The interpretations for these cases are presented here as separate terms (whose proper interaction is captured in the Glue logic side, presented below):

- (25) $| p | s^0 : \text{seem}(s^0, p)$
 $| S | s : 9v [S(s) \wedge \text{PSOURCE}(s) = v]$

Asudeh and Toivonen (2012) argue that the existential closure is obligatory in both English and Swedish subject-to-subject raising and in English expletive examples. The closure is only optional in Swedish expletive examples, to allow composition with the PP adjunct.

These interpretations are embedded in Glue meaning contexts, which pair terms of the meaning language with linear logic terms:

- (26) $| p | s^0 : \text{seem}(s^0, p) :$
 $\text{COMPLEMENT}(\text{PSOURCE}(\text{EVENT}(\text{RESULT}$
 $| S | s : 9v [S(s) \wedge \text{PSOURCE}(s) = v] :$
 $(\text{PSOURCE}(\text{EVENT}(\text{RESULT})((\text{EVENT}(\text{RESULT}$

The linear logic terms are provided schematically here, but normally they would be instantiated in terms of some syntactic theory, such as Lexical-Functional Grammar (Bresnan et al. 2016).

Crucially, a linear logic term is introduced for the SOURCE. This will serve as a resource that must be properly consumed in the lw

In both English and Swedish, the copy-raised subject serves the SOURCE

This is captured by embedding the copy raising verb's meaning in the following meaning constructor:

(32) $\lambda x/\lambda P/\lambda s:\text{seen}(s; P(x))$

- (35) * Tom verkarpå sig själv som om hanskrattar.
T. seemson him self as if he laughs

This example is ill-formed for the proof-theoretic reasons just outlined with respect to the proofs (33) and (34) above.

Since Glue proofs are essentially structural representations of the syntax-semantics interface (Asudeh and Crouch 2002a,b), proof-theoretic uniqueness essentially has the desired property of controlling for the linguistic realization of *FOURCES* through the mapping from syntax to semantics, based on the resources underlying construction of *FOURCES*, rather than controlling for denotational equivalence in the model theory.

5 Conclusion

The basis for proof-theoretic uniqueness is Linguistic Resource Sensitivity, which controls proper argument consumption by predicates. I argued that it is this notion that could be responsible for blocking cases involving thematic roles that denotational uniqueness lets slip through, such as unlicensed reflexives. The proof-theoretic control of *FOURCES* argument combination effected by Linguistic Resource Sensitivity was generalized to *FOURCES* by assigning them a resource that must be properly consumed in the proof, although in the model-theoretic semantics they are still not treated as arguments.

Proof-theoretic uniqueness is thus a stronger condition on the model-theoretic uniqueness, although the independence of the two kinds of uniqueness is what there is no conflict between the two and they can be captured simultaneously in one system. They have been here, since I still assume that thematic/semantic roles are functional entities, as per Carlson's original pioneering insight.

One problem remains, however. Normally, a strong correspondence is assumed between proofs and models, as captured by the Curry-Howard Isomorphism (Curry and Feys 1958, Howard 1980). The solution sketched here puts some stress on the correspondence if it is construed as a correspondence between the terms in the meaning language and the terms in the Glue logic. It is worth pointing out, though, that the correspondence preserved between the compositional structure of the proof itself (as captured in proof rules) and the models for the proofs.

References

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