
Political Science 279/479
War and the Nation-State

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Course Information:
Fall 2016
Tues/Thursday 11:05{12:20
Meliora 205

This course examines the development of warfare and growth of the state. In particular, we examine the phenomenon of war in its broader socio-economic context between the emergence of the modern nation-state and the end of World War II. There are a lot of readings in this course, I do not expect that every student will have read every text { unless I speci cally tell students to read a particular text. I do expect, however, expect that students will cooperate and work out how all readings are to be covered by the entire class. Students will read and provide summaries for a selected and mutually agreed upon number of the readings to other students, so that all will either read the texts or the summaries. Students are required to make a group presentation in class on the readings for one class (25% of the grade), and there will be one big nal (75%).

Course Requirements

Participation and a presentation in the seminar comprises 25% of your grade. A nal exam counts for 75%. The nal exam is given during the period scheduled by the University. In particular instances, students may substitute a serious research paper for the nal. Students interested in the research paper option should approach me no later than one week after the mid-term.

Academic Integrity

Be familiar with the University's policies on academic integrity and disciplinary action (<http://www.rochester.edu/living/urhere/handbook/discipline2.html#XII>). Violators of University regulations on academic integrity will be dealt with severely, which means that your grade will suffer, and I will forward your case to the Chair of the College Board on Academic Honesty.

The World Wide Web

A number of websites will prove useful, let me know if you find new ones:

1. General History of the 20th Century

<http://www.bbc.co.uk/history/war/>

<http://users.erols.com/mwhite28/20centry.htm>

<http://www.fsmitha.com/>

2. On the Franco-Prussian War

<http://uk.geocities.com/fpw1870/contents.html>

3. On World War I

<http://www.lib.byu.edu/~rdh/wwi/>

<http://www.bbc.co.uk/history/war/wwone/index.shtml>

and from there:

http://www.bbc.co.uk/history/war/wwone/launch_ani_western_front.shtml

4. On World War II

http://dobias.webz.cz/m_dohoda_de.htm (Munich Agreements 1938)

<http://www.bbc.co.uk/history/war/wwtwo/index.shtml>

and from there

http://www.bbc.co.uk/history/war/wwtwo/launch_ani_campaign_maps.shtml

<http://libraries.ucsd.edu/speccoll/dswenttowar/>

Texts

I may make some minor tweaks in the syllabus during the semester, but the course largely builds on the following books which should be available in the bookstore or|cheaper|at Amazon.com. Since this is a long list, I list in bold books that you definitely should get.

1. William H. McNeill,

Course Outline

Thursday September 1

1. Introduction

Class cancelled { APSA Convention

Tuesday September 6

2. Global Historical Background

William H. McNeill, *The Pursuit of Power*, Chapters 3 { 5, pp.63-184

Optional :

Thomas C. Schelling, *Arms and Influence*, Yale University Press, New Haven, 1966, Chapter 1

Geoffrey Blainey, *The Causes of War* third edition, The Free Press, New York, 1988, Chapter 8

James D. Fearon, "Rationalist Explanations for War," *International Organization*, Vol.49 (3) (Summer 1995), 379{414.

Brian M. Downing, *The Military Revolution and Political Change, Origins of Democracy and Autocracy in Early Modern Europe* Princeton, N.J.: Princeton University Press, 1992, Chapters 3{5, pp.56{139

Thursday September 8

3. European Historical Background of the Napoleonic Wars

Michael Howard, *War in European History*, chapters 4{5, pp.54{93

Hew Strachan, *European Armies and the Conduct of War* chapters 2{4, pp.8{59

Tuesday September 13

4. Nationalism and War

Clausewitz, *On War*, Books 1, 2 and 8.

Thursday September 15

5. Nationalism and War

R. R. Palmer, "Frederick the Great, Guibert, Bulow: From Dynastic to National War," in *Makers of Modern Strategy*. Edward Meade Earle (Princeton, N. J.: Princeton University Press, 1943), pp.49{74

Barry Posen, "Nationalism, the Mass Army and Military Power," *International Security*, Vol.18, No.2 (Fall 1993), pp.80{124

Jack Snyder and Karen Ballentine, "Nationalism and the Marketplace of Ideas," *International Security*, Vol. 21, No. 2 (Autumn) 1996, pp.5{40.

Barry Posen, "The Security Dilemma and Ethnic Conflict," *Survival*, Vol.35, No.1 (Spring 1993), pp.27{47.

Tuesday September 20

6. Democratization and War

Bruce Russett, *Grasping the Democratic Peace* Princeton, NJ: Princeton University Press, 1993. Chapters 1, 2 & 4.

Alexandre Debs and Hein Goemans, "Regime Type, the Fate of Leaders and War." *American Political Science Review* Vol. 104, No. 4 (August) 2010: 430 { 445.

Kenneth A. Schultz, "Do Democratic Institutions Constrain or Inform?: Contrasting Two Institutional Perspectives on Democracy and War," *International Organization* 52 (Spring 1999), pp. 233 { 66.

Optional :

Michael Doyle, "Kant, Liberal Legacies, and Foreign Affairs: Part 1," *Philosophy and Public Affairs*, 12 (Summer 1983), 205{35

Michael Doyle, "Kant, Liberal Legacies, and Foreign Affairs: Part 2," *Philosophy and Public Affairs*, 12 (Fall 1983), 323{53

Philip Knightley. *The First Casualty: The War Correspondent as Hero and Myth-Maker from the Crimea to Kosovo* Baltimore: Johns Hopkins University Press, 2002.

Thursday September 22

7. Democratization and War

Jack Snyder, *From Voting to Violence: Democratization and Nationalist Conflict*. New York: W. W. Norton & Co., 2000.

Dan Reiter and Allan C. Stam, "Democracy, War Initiation, and Victory," *American Political Science Review* Vol. 92, No. 2 (June) 1998: 377{389.

Tuesday September 27

8. Industrialization and War

Howard, War in European History, Chapter 6, pp.94 { 115

Kennedy, The Rise and Fall of British Naval Mastery introduction and Chapter 7, pp.xiii { xxx, 177 { 204

Kennedy, Strategy and Diplomacy Chapter 3, pp.87 { 108

Thursday September 29

9. Industrialization and War

Karl Lautenschlager, "Technology and the Evolution of Naval Warfare," International Security, Vol.8, No.2. (Fall 1983), pp.3 { 51

Strachan, European Armies and the Conduct of War Chapter 8, pp.108 { 129

Georey L. Herrera, "Inventing the Railroad and the Revolution: Information, Military Innovation and the Rise of Germany." in The Journal of Strategic Studies Vol. 27, No. 2, (June) 2004: 243 { 271.

Tuesday October 4

10. Bureaucratization

Samuel Huntington, The Soldier and the State Chapters 1 { 3

Martin Kitchen, The German Officer Corps, 1880 { 1914, Chapter 5.

Causes of the World Wars

Thursday October 6

11. Causes of World War I

Military Strategy

Michael Howard, "Men Against Fire: Expectations of War in 1914," in Miller, ed., Military Strategy and the Origins of the First World War, 2 { 19

Jack Snyder, "Civil-Military Relations and the Cult of the Offensive, 1914 and 1984," in Miller, ed., Military Strategy and the Origins of the First World War, 20 { 58

Stephen Van Evera, "The Cult of the Offensive and the Origins of the First World War," in Miller, ed., Military Strategy and the Origins of the First World War, 59 { 108

Scott D. Sagan, "1914 Revisited: Allies, Opponents, and Instability," in Miller, ed., *Military Strategy and the Origins of the First World War*, 109 { 133

Marc Trachtenberg, "The Meaning of Mobilization in 1914," in Miller, ed., *Military Strategy and the Origins of the First World War*, pp.195 { 225

Terence Zuber, "The Schlieffen Plan Reconsidered," *War in History*, 1999 6(3): 262 { 305

Tuesday October 11

12. Causes of World War I

Domestic Politics

Immanuel Geiss, "The Outbreak of the First World War and German War Aims," *Journal of Contemporary History*, Vol.1, No.3 (July 1966), pp.75 { 91

Eckart Kehr, "Anglophobia and Weltpolitik," in his *Economic Interest, Militarism and Foreign Policy*, pp.22 { 49

Jack Snyder, *Myths of Empire*, Princeton, NJ: Princeton University Press, 1994. Chapters 1 { 3.

Thursday October 13

13. Causes of World War I

Systemic Explanations

R. Harrison Wagner, "Peace, War and the Balance of Power," *American Political Science Review* Vol. 88(3) (September 1994), pp.593-607

Dale C. Copeland, *The Origins of Major War*, Chapters 1 { 4, pp.1{117.

Tuesday October 18

FALL BREAK

Thursday October 20

14. Causes of World War I

Systemic Explanations

Sean McMeekin, *The Russian Origins of the First World War*, Cambridge, MA: Belknap Press of Harvard University Press, 2011.

Tuesday October 25

15. Causes of World War II

Versailles and its consequences

Holger Herwig, "Clio Deceived, Patriotic Self-Censorship in Germany after the Great War," in Miller, ed., *Military Strategy and the Origins of the First World War*, pp.262 { 301

John Maynard Keynes, *The Economic Consequences of the Peace* introduction, Chapter 6

Deterring the Third Reich

Kennedy, *The Rise and Fall of British Naval Mastery* Chapter 10

Kennedy, *Strategy and Diplomacy* Chapter 1

John Mearsheimer, *Conventional Deterrence* Chapters 3 { 4

David Reynolds, "Churchill and the British 'Decision' to Fight on in 1940: Right Policy, Wrong Reasons," in Richard Langhorne, ed. *Diplomacy and Intelligence During the Second World War* New York: Cambridge University Press, 1985, pp.147 { 167

Thursday October 27

Deterring the Empire of the Rising Sun

Akira Iriye, *The Origins of the Second World War in Asia and The Pacific* entire book

Conduct of the World Wars

Tuesday November 1

16. Conduct of World War I

Attrition Warfare

John Keegan, *The Face of Battle* Chapter 4

Alistair Horne, *The Price of Glory: Verdun 1916* entire book

optional: The Homefront

David Kennedy, *Over Here* prologue, Chapters 1, 2 and 6

Stephane Audoin-Rouzeau, *Men At War, 1914-1918, National Sentiment and Trench Journalism in France during the First World War*, Oxford: Berg, 1992.

Thursday November 3

Richard Breitman, "Himmler, the architect of Genocide," in David Cesarani, *The Final Solution; Origins and Implementation*, London: Routledge, 1996, pp. 73 { 84

Jürgen Förster, "The relation between Operation Barbarossa as an ideological war of extermination and the Final Solution," in *The Final Solution; Origins and Implementation*, pp.85 { 102

Christian Streit, "Wehrmacht, Einsatzgruppen, Soviet POWs and anti-Bolshevism in the emergence of the Final Solution," in *The Final Solution; Origins and Implementation*, pp.103 { 118

Omar Bartov, "Operation Barbarossa and the origins of the Final Solution," in *The Final Solution; Origins and Implementation*, pp.119 { 136

Jonathan Steinberg, "Types of Genocide? Croats, Serbs and Jews, 1941-45," in *The Final Solution; Origins and Implementation*, pp.175 { 193

Thursday November 17

The Killing Machine and the Eastern Front

Christopher Browning. *Ordinary men: Reserve Police Battalion 101 and the final solution in Poland*. New York: HarperPerennial, 1998.

Tuesday November 22

20. Conduct of World War II

War with Japan

"Death of the Ball Turret Gunner"

From my mother's sleep I fell into the State,
And I hunched in its belly till my wet fur froze.
Six miles from earth, loosed from its dream of life,
I woke to black ak and the nightmare ghters.
When I died they washed me out of the turret with a hose.

{ Randall Jarrell

Schaefer, *Wings of Judgment* Chapters 2, 6 { 8

Kennedy, *Strategy and Diplomacy* Chapter 7

Thursday November 24

Thanksgiving Break

G. John Ikenberry, *After Victory* . Princeton NJ: Princeton University Press, 2001. Chapters 5 & 6, pp.117 { 214.

Tuesday December 13

The Consequences of War

One of the following, or substitute another of your choice, after consultation:

Paul Fussell, *The Great War and Modern Memory* pp.1 { 51, 71 { 74, 169 { 208, 315 { 335.

Erich Maria Remarque, *All Quiet on the Western Front*

Robert Graves, *Good-Bye to All That*

Ernest Hemingway, *Farewell to Arms*

Sebastien Japrisot, *A Very Long Engagement*

Questions to consider in formulating and evaluating social science research

1. What is the central question?

Why is it important (theoretically, substantively)?

What is being explained (what is the dependent variable and how does it vary)?

How does this phenomenon present a puzzle?

2. What is the central answer?

What is doing the explaining (what are the independent variables and how do they vary)?

What are the hypotheses, i.e., what is the relationship between independent and dependent variables, what kind of change in the independent variable causes what kind of change in the dependent variable?

What are the causal mechanisms, i.e., why are the independent and dependent variables so related?

How do the independent variables relate to each other?

What assumptions does your theory make?

Is the theory falsifiable in concept?

What does this explanation add to our understanding of the question?

3. What are the possible alternative explanations?

What assumptions are you making about the direction of causality?

What other explanations might there be for the phenomenon of study, and to what degree do they conflict with the central answer?

Could the hypothesized relationships have occurred by chance?

4. Why are the possible alternative explanations wrong?

What is the logical structure of the alternative explanations (compare 2)?

What is the empirical evidence?

5. What is the relationship between the theory and the evidence?

What does the research design allow to vary, i.e., in this design are the explanations variables or constants?

What does your research design hold constant, i.e., does it help to rule out the alternative competing explanations?

How are the theoretical constructs represented empirically, i.e., how do you know it when you see it (measurement)?

6. How do the empirical conclusions relate to the theory?

How confident are you about the theory in light of the evidence?

How widely do the conclusions generalize, i.e., what might be the limitations of the study?

What does the provisionally accepted or revised theory say about questions of broader importance?