

PhD Seminar on State Formation

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Spring 2016

Description: This is a seminar about why states form, what types of states form, and what they do. Over the course of the semester we will review what both current and classic work has to say about state formation. The first requirement for this course is that students do the reading (prior to class) and participate actively in class discussion. The second requirement is that each student present two papers over the course of the semester. We will discuss the format in class. The third requirement is a research paper with a topic to be determined in advanced with the instructor.

1. Anarchy

- Hirshleifer, Jack. "Anarchy and its breakdown." *Journal of Political Economy*(1995): 26-52.
- Powell, Robert. "Guns, butter, and anarchy." *American Political Science Review* 87.01 (1993): 115-132.
- Skaperdas, Stergios. "Cooperation, Conflict, and Power in the Absence of Property Rights." *American Economic Review* (1992): 82(4):720-739.
- Piccione, Michele, and Ariel Rubinstein. "Equilibrium in the Jungle". *The Economic Journal* 117.522 (2007): 883-896.
- Carroll, Robert. "War and Peace in the Marketplace." Working Paper, Florida State University (2015)
- Duggan, John. "The State of Nature as a Spatial Contest." Working Paper, University of Rochester (2014)

2. Primary States (Theories)

- Boix, Carles. *Political Order and Inequality: Their Foundations and their Consequences for Human Welfare* Cambridge University Press, 2015. pp (1-91)
- Olson, Mancur. "Dictatorship, Democracy, and Development." *American Political Science Review* 87.03 (1993): 567-576.
- Konrad, Kai A., and Stergios Skaperdas. "The Market for Protection and the Origin of the State." *Economic Theory* 50.2 (2012): 417-443.
- Grossman, Herschel I. "Make us a king: anarchy, predation, and the state." *European Journal of Political Economy* 18.1 (2002): 31-46.
- Robert Carneiro (1970) "A Theory of the Origin of the State" *Science*
- Abramson, Scott, and Jeon, Jee Seon: "Conflict, Cooperation, and Exit: A Mechanism Design Approach to the Origins of the State." Working Paper, The University of Rochester (2015).

3. Primary States (Evidence)

Boix, Carles. Political Order and Inequality: Their Foundations and their Consequences for Human Welfare Cambridge University Press, 2015. pp (92-201)

Robert Allen (1997) "Agriculture and the Origins of the State in Ancient Egypt" Explorations in Economic History.

de la Sierra, Ral Snchez. On the Origin of States: Stationary Bandits and Taxation in Eastern Congo. Working Paper University of Chicago 2015.

Bandiera, Oriana. "Land reform, the market for protection, and the origins of the Sicilian mafia: a theory and evidence." Journal of Law, Economics, and Organization 19.1 (2003): 218-244.

Kurrild-Klitgaard, Peter, and Gert Tinggaard Svendsen. "Rational bandits: Plunder, public goods, and the Vikings." Public Choice 117.3-4 (2003): 255-272.

Charles Spencer "Territorial Expansion and Primary State Formation" Proceedings of the National Academy of the Sciences (2010).

4. Controlling Violence

Pinker, Steven. The Better Angels of Our Nature: Why Violence Has Declined New York: Viking, (2011). Selections TBD

Charles Tilly (1985) "War Making and State Making as Organized Crime."

Daron Acemoglu, James Robinson, and Rafael Santos (2013) "The Monopoly of Violence: Evidence From Colombia." Journal of the European Economic Association.

Arandrajit Dube, Oeindrila Dube, and Omar Garcia-Ponce (2013) "Cross Border Spillover: US Gun Laws and Violence in Mexico." American Political Science Review

Bates, Robert, Avner Greif, and Smita Singh. "Organizing violence." Journal of Conflict Resolution 46.5 (2002): 599-628.

Acemoglu, Daron and Vindigni, Andrea and Ticchi, Davide Persistence of civil wars. Journal of Economic Perspectives 25(4) (Autumn 2011) 139-162

Strengthening State Capabilities: The Role of Financial Incentives in the Call to Public Service, Quarterly Journal of Economics 128(3), August 2013

Nicola Gennaioli and Joachim Voth (2013) "State Capacity and Military Conflict." Review of Economic Studies.

Melissa Lee and Nan Zhang (2013) "The Art of Counting the Governed: Census Accuracy, Civil War, and State Presence."

Dincecco, Mark, The Rise of Effective States Europe, Journal of Economic History, vol. 75, pp. 901-18, 2015.

Dincecco, Mark and Mauricio Prado Warfare, Fiscal Capacity, and Performance Journal of

James S. Coleman. 1990. *Foundations of Social Theory*. Cambridge, Mass: Belknap Press. Pages 27-37, 45-90, 119-174.

Wedeen, Lisa. *Ambiguities of domination: Politics, rhetoric, and symbols in contemporary Syria*. University of Chicago Press, 1998. Selections TBD

12.

Weber, Eugen. 1977. Peasants into Frenchmen. The Modernization of Rural France, 1870-1914. Stanford University Press. Chapters 1, 4, 6, 7, 15, 17, 18 and 29

15. Norms, Sovereignty, & Contemporary State Formation In the International System

Alesina, Alberto, William Easterly, and Janina Matuzeski (2011) "Artificial States." Journal of the European Economic Association

Herbst, Jeffrey. States and power in Africa. Princeton University Press, 2014.

Osiander, Andreas. "Sovereignty, international relations, and the Westphalian myth." International organization 55.02 (2001): 251-287.

Krasner, Stephen D. "Compromising Westphalia." International Security (1995): 115-151.

Philpott, Daniel. "The religious roots of modern international relations." World Politics 52.02 (2000): 206-245.

Fischer, Markus. "Feudal Europe, 800-1300: communal discourse and contractual practices." International Organization 46.02 (1992): 427-466.

Ruggie, John Gerard. "Territoriality and beyond: problematizing modernity in international relations." International organization 47.01 (1993): 139-174.